

## **KWF Financing of Public-Private Partnerships (PPP) 2023**

### **Goal of this program.**

At KWF, we see a need for more collaboration between public and private organizations.

With the Health~Holland PPP allowance program for Public Private Partnerships (PPP), KWF and Health~Holland have teamed up to stimulate innovative research projects that are necessary for the next step in translational research in oncology. KWF focusses on creating and implementing new ways to prevent, detect and treat cancer.

This program is looking for innovative, translational, and multidisciplinary research focused on oncology in which knowledge institutes and private partners are collaborating.

### **Who can apply?**

Scientists employed by a Dutch knowledge institute can submit a proposal. There needs to be a genuine collaboration with at least one private for-profit partner contributing to the project.

### **Applications can be submitted between November 29<sup>th</sup> 2022 and February 14<sup>th</sup> 2023.**

Applications for the PPS2023-1 call can be submitted between November 29<sup>th</sup> 2022 and February 14<sup>th</sup> 2023. Applications will not be accepted after the deadline of Tuesday February 14<sup>th</sup> at noon.

Fully completed project proposal forms and budget sheet can be uploaded in KWF Grant Management System (GMS). For more information on the eligibility criteria, see the following pages. In case you have additional questions, please contact KWF at [pps@kwf.nl](mailto:pps@kwf.nl) for PPP related questions or [bestedingen@kwf.nl](mailto:bestedingen@kwf.nl) for GMS related questions.

### **Scientific project review.**

All applications will be first be subject to an internal eligibility check before it is reviewed by external reviewers. After these reviews the project proposals will be assessed by the KWF scientific review board, consisting of scientists, clinicians, venture capitalists and valorisation experts in the relevant areas of expertise.

## General conditions and eligibility criteria.

- The research proposal fits within the Knowledge and Innovation Agenda 2020-2023, (<https://www.health-holland.com/sites/default/files/downloads/kennis-en-innovatieagenda-2020-2023-gezondheid-en-zorg.pdf>) See pages 17-35 of the KIA for the mission of the PPP-program.
- The project is not already funded otherwise.
- The project consists of at least one private, for-profit partner and one Dutch knowledge institute.
- The project will be realized at joint cost and risk and all consortium partners will make a substantial contribution to the project, not only in cash or but also in kind. In other words, the project should be a true collaborative effort.
- The percentage of the project costs which can be financed from the grant depends on the type of research performed as follows:
  - Fundamental research up to 75%
  - Industrial research up to 50%
  - Experimental development up to 25%

**Table 1. Financing and matching percentages for fundamental research-, industrial research- and experimental development projects**

	Type of Research		
	Fundamental Research (TRL 1-3)	Industrial Research (TRL 4-6)	Experimental Development (TRL 7-9)**
<b>Maximum % PPP-allowance to be deployed</b>	75%	50%	25%
<b>Contribution by Research Organisation</b>	min. 10%		
	In cash or in kind		
<b>Contribution by For-Profit enterprise</b>	min. 15% of which a minimum of 2/3 in cash except for SMEs*	min. 30% of which a minimum of 2/3 in cash except for SMEs*	min. 45% of which a minimum of 2/3 in cash except for SMEs*

\* SME's may contribute in kind only

\*\* TRL 8 and 9 are not eligible for PPP funding

- Correct classification of the project according to the type of research is very important and might be subject to additional checks if deemed necessary. Incorrect classification might lead to disqualification of the project. Please follow the advice of your contact person at KWF or your knowledge institute.

- A combination of different research categories is possible.
- Industrial party/parties involved in the PPP are to contribute in cash *and* in kind to guarantee the active involvement of the company. SMEs may also contribute in cash but are not obliged to, thus they may contribute in kind only, like the academic partner(s) (see Table 1 for details). Whether an industrial party qualifies as an SME can be checked at: <https://www.rvo.nl/subsidie-en-financieringswijzer/subsidiespelregels/ministeries/ministerie-van-economische-zaken-en-klimaat/aanvraag-indienen/mkb-toets>.
- Budgeted costs should be directly related to the R&D activities, and do not include for example: bench fee costs, travel within the Netherlands, supporting/project management tasks that are not directly related to the project's R&D activities.
- In exchange for their contribution, the private partner obtains first access to the results. They also obtain an exclusive option to negotiate a license to use the results and inventions coming forth from the PPP project, and if they decide to exercise their option their contribution will be taken into account during the negotiations.
- The proposal should contain a description of the objectives, subject, key challenges, approach, and how the project contributes to the goals of TKI-LSH/Kennis en Innovatie Agenda. Each part of the project classification must be well described in work packages. The degree of cooperation must be clearly stated as well as why the consortium is particularly capable of achieving the objectives.
- A detailed budget, describing the contribution of each partner (in cash and in kind) and the allocation of the budget must be provided. If the in kind contribution is made as personnel costs, the role and activities of the personnel should be clearly described. TKI-LSH requires the use of a specific Excel template for project budgets. If applicable, please contact your internal financial department to ensure the budget meets all requirements and for approval of the budget.
- The maximum duration of the project is 4 years. Deadline for finalizing the project that are granted for the 2023 PPP allowance call, is in October 2027.
- The intellectual property (IP) generated in the collaborative project should remain the property of the academic partners (or the party that generated the IP). An option to negotiate a market-conform license agreement to use the intellectual property of the academic partners is part of the consortium agreement.
- A consortium agreement between all partners, should be signed within three (3) months after allowance of the grant. The project should start within six (6) months after the allowance is granted. A template is available for your convenience.
- Your project might be eligible for Health Innovation Netherlands (HI-NL) innovation guidance, which can be financed with PPP allowance. More information can be found on their website; <https://www.healthinnovation.nl/>.

**Criteria for ranking** the proposals by the jury are:

- Scientific quality
- Feasibility
- Relevance (impact/utilization)

### **CHECKLIST**

- The consortium consists of at least one knowledge institute and one for-profit private party.
- The main applicant (hoofdaanvrager) is a knowledge institute and located in the Netherlands.
- The project has a duration of a maximum of 48 months.
- Effective collaboration takes place. This means that the project is realized at joint cost and risk.
- All consortium partners are making an *in kind* contribution. This means that all consortium partners should at least incur payroll costs.
- At least 2/3<sup>rd</sup> of the required minimum contribution of a large enterprise consists of a cash contribution.
- The research organization contributes at least 10% of the total project costs.
- The budgeted costs are directly related to the R&D activities, and do not include for example: bench fee costs, travel within the Netherlands, supporting/project management tasks that are not directly related to the project's R&D activities.
- The budget form has been signed by a financial controller from the research organization.
- Letter of Intent by the industry partner is being arranged.

More information on the PPP allowance can be found on the Health~Holland website; <https://www.health-holland.com/funding-opportunities/tki-match>.